

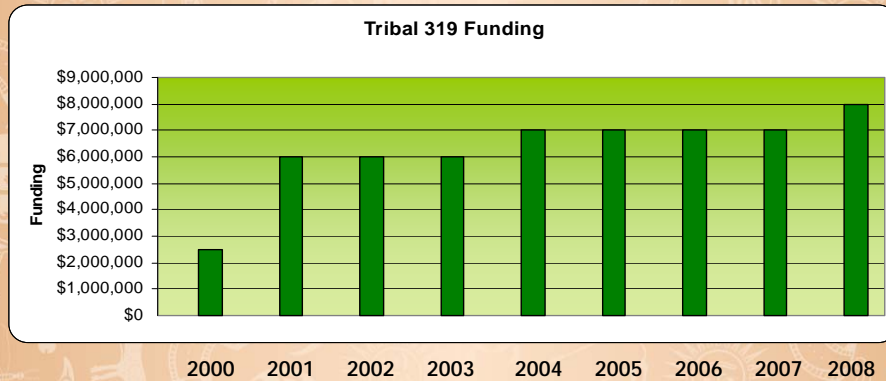
Clean Water Act Section 319 Funding



Congressionally Authorized Funding

- CWA Section 518 originally set a funding cap on CWA Section 319 funds awarded to Tribes of 1/3 of 1% of available CWA Section 319 funding.
- Congress increased funding for the Tribal NPS Program from \$330,000 in FY 1997 to \$8 million in FY 2008.

Historical Tribal 319 Funding



Note: Currently, there are **137 tribes** eligible for 319 funding

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Funding for Tribal CWA Section 319 Programs

- Base grant
 - \$30,000 for Tribes with 1,000 square miles or less
 - \$50,000 for Tribes with more than 1,000 square miles
- Competitive grant
 - Up to \$150,000 to implement on-the-ground water quality improvement projects
- Match Required (of total project costs)
 - Non-federal match = 40%
 - Demonstrate financial hardship = 10%
 - Inclusion in a PPG = 5%

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Eligible Base Funding Activities

- Fund a NPS Coordinator
- Conduct NPS education programs
- Provide training or attend training
- Update NPS management plan
- Develop or implement watershed based plan(s)
- Other watershed projects

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Base Funding Grant Process

- Prepare your workplan according to the guidelines in the October 25, 2006 Federal Register Notice
- Work plan should address 40 CFR 35.507 work plan requirements in addition to the guidelines requirements:
 1. Work plan components;
 2. Work plan commitments for each work plan component;
 3. Estimated funding amount for each work plan component;
 4. Estimated work years for each work plan component;
 5. Roles and responsibilities of the recipient and EPA in carrying out the work plan commitments;
 6. Reporting schedule and description of performance evaluation process; and
 7. Include a description of each significant category of NPS activity to be addressed

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SAMPLE Work Plan Chart

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SAMPLE WORK PLAN – BASE PROGRAM NONPOINT SOURCE POLLUTION CONTROL PROGRAM (CWA§319) NPS CATEGORY TO BE ADDRESSED: Bank Erosion Problems at Eastman River. Livestock has unrestricted access to Eastman River causing the banks to erode due to trampling and lack of native vegetation to keep the river banks stabilized.						
	Work Plan Components with Commitments and Environmental Results	Dates Start End	Deliverables	Responsible Staff & Work Years	Estimated Cost*	Status
1	COMPONENT: Decrease livestock access to riverbanks of Eastman River. Commitment 1(a): Purchase fencing supplies Commitment 1(b): Fence (X) # of yards of riverbank ENVIRONMENTAL RESULT: Improve water quality through a decline in sediments in the river by preventing livestock access to the river.	10/01 - 10/30 11/01 - 12/30	Submit "Before" and "After" photos with quarterly report.	WQS Crew .20	\$15,000	
2	COMPONENT: Revegetate project area with native vegetation. Commitment 2(a): Purchase trees, shrubs, and grasses Commitment 2(b): Plant trees, shrubs, and grasses Commitment 2(c): Install watering system for vegetation ENVIRONMENTAL RESULT: The growth of native vegetation at the project site will stabilize the riverbank and reduce the amount of sedimentation from going into the river.	2/1 - 2/28 3/1 - 4/30 4/01 - 5/30	Submit "Before" and "After" photos with quarterly reports.	WQS Crew .20	\$10,000	
3	COMPONENT: Provide alternate water sources for livestock. Commitment 3(a): Purchase solar panels and troughs. Commitment 3(b): Install solar-powered water system ENVIRONMENTAL RESULT: Providing alternate water resources for livestock will prevent them from going into the river thus leading to a decline in sedimentation and pathogen introduction.	11/01 - 12/30 1/01 - 2/30	Submit photos of new water system with quarterly report.	WQS Crew .16	\$8,000	

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4	<p>COMPONENT: Educate Tribal Community on the importance of water quality protection and nonpoint source pollution control program.</p> <p>Commitment 4(a): Develop water quality education/outreach program.</p> <p>Commitment 4(b): Hold public outreach meetings and site visits to project area.</p> <p>Commitment 4(c): Contribute articles to the Environmental Department's monthly newsletter.</p> <p>ENVIRONMENTAL RESULT: Changes in awareness and understanding of the status of water quality and the affects of nonpoint source pollution problems as demonstrated by direct participation in workshops, meetings, and site visits.</p>	<p>10/01 - 12/31</p> <p>Semi-annually</p> <p>Monthly</p>	<p>-Submit to EPA an education and outreach program description</p> <p>-Include in quarterly reports a summary of public meetings and site visits</p> <p>-Provide copies of newsletters</p>	WQS .10	\$3,000	
5	<p>COMPONENT: Develop draft Watershed-based Plan for Eastman- Anderson Watershed</p> <p>Commitment 5(a): Review guidelines and checklists for development of a watershed-based plan</p> <p>Commitment 5(b): Meet with stakeholders in watershed</p> <p>Commitment 5(c): Develop draft watershed-based plan</p> <p>ENVIRONMENTAL RESULT: The watershed-based plan will allow for nonpoint source problems and pollutants of concern to be addressed and reduced at a watershed level.</p>	<p>6/01 - 6/15</p> <p>6/01 - 7/30</p> <p>8/1 - 9/30</p>	<p>- Document activities in quarterly reports</p> <p>- Document meetings in quarterly reports</p> <p>- Submit draft WBP for EPA review</p>	WQS .14	\$7,000	
6	<p>COMPONENT: Training for NPS Staff</p> <p>Commitment 6(a): Attend the annual NPS Workshop</p> <p>Commitment 6(b): Attend workshops on BMPs and other NPS issues as they become available.</p> <p>ENVIRONMENTAL RESULT: To increase staff knowledge, as demonstrated by staff through "on-the-job" implementation to best ultimately improve water quality.</p>	<p>10/01 - 9/30</p> <p>10/01 - 9/30</p>	<p>Provide status and summary of trainings in quarterly reports along with copies of training certificates, if available.</p>	WQS .10	\$5,000	

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7	<p>COMPONENT: Quarterly Reporting to self-evaluate and joint-evaluate annual performance under the grant, including:</p> <ul style="list-style-type: none"> - Status of each work plan goal, task, deliverable - Status of expenditures for reporting period - Summary of accomplishments including the reporting of environmental results and discussion of problems impacting or expected to impact performance - Tasks not on schedule and proposed dates of completion <p>ENVIRONMENTAL RESULT: To evaluate and report on performance under the grant.</p>	<p>Quarterly:</p> <p>1/30</p> <p>4/30</p> <p>7/30</p> <p>10/30</p>	<p>Quarterly Reports submitted to EPA</p>	WQS .10	\$2,000	
<p>WQS: Water Quality Specialist at 1.00 FTE. Lead for all work plan components.</p> <p>Crew: NPS Crew – Contractual to carry out on the ground work for components #1 – 3.</p> <p>EPA will have no role in carrying out the work plan commitments except to review quarterly reports submitted.</p> <p>*This reflects the totals of all COSTS for each component, including: salary, fringe, equipment, contractual, supplies, travel, IDC, etc. Refer to budget breakdown for more detailed information.</p>					<p>TOTAL: \$50,000</p> <p>EPA: \$30,000</p> <p>MATCH: \$20,000</p>	

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Process For Base Grants Submission

1. In addition to your work plan, you must submit the following documentation, if applicable:
 - a) If watershed-based Plan Development is proposed, must include a commitment to incorporate the 9 components of a watershed based plan
 - b) If Watershed-based Plan Implementation is proposed, must be accompanied by a statement from the Region saying the plan meets the 9 elements of a watershed based plan
2. Submit either a hard copy or electronic copy of your workplan and supplemental documentation (#1 above, if applicable) to your Regional Tribal NPS Coordinator (no faxes accepted)
3. Region reviews work plan and requests revisions or clarifications if needed
4. Tribe submits final work plan for approval

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Tentative Base Grant FY09 Schedule

Date for Tribes to be eligible for 319 grants	October 10, 2008
Deadline for workplan proposals	December 5, 2008 (anticipated)
Region provides comments on proposed workplan	January 14, 2009 (anticipated)
Tribes submit final workplans to Region	February 13, 2009 (anticipated)
Tribes submit final grant application to Region	April 1, 2009 (anticipated)

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Eligible Competitive Funding Activities

1. Development of a watershed-based plan (up to 20%) and implementation of a WBP
2. Development of a WBP and implementation of a watershed project....
3. Implementation of a WBP
4. Implementation of a watershed project that is a significant step towards solving NPS impairments on a watershed basis

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Preparing a Proposal for Competitive Funding

Read Request for Proposals (RFP) Carefully!

- ✓ Deadlines
- ✓ Threshold Criteria
- ✓ Signed SF-424
- ✓ Electronic submission to Grants.gov OR hard copy to Region Office
- ✓ Competition Policy

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CWA Section 319 – Competitive Proposals (Work Plans)

- Competitive proposal work plan requirements found in the RFP. For example, for FY2008 proposals, refer to "FY 2008 Request for Proposals from Indian Tribes and Intertribal Consortia for Nonpoint Source Management Grants Under Clean Water Act (CWA) Section 319" posted on grants.gov on November 14, 2007. Refer to Section IV(B)(1)(b)(i-vii). ***Remember for FY2009 proposals, refer to the FY2009 RFP!!***
- In addition to 40 CFR 35.507 and base program work plan requirements, competitive work plans must include information on "Environmental Results Past Performance." *There may be more work plan requirements in the FY2009 RFP for FY2009 proposals!*

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CWA Section 319 – Competitive Proposals (Work Plans)

- Environmental Results Past Performance:
 - Submit a list of federally funded assistance agreements (not federal contracts) that your organization performed within the last three years. Provide no more than 5 and preferably identify EPA agreements.
 - Describe how you documented and/or reported on whether you were making progress towards achieving the expected results (outputs and outcomes) under those agreements.
 - If you were not making progress, please indicate whether, and how, you documented why not.
- Can attach information on Environmental Results Past Performance on a separate page to your work plan.

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Preparing a Competitive Proposal

Regions evaluate proposals to determine whether they meet the Regional threshold evaluation criteria in order to be forwarded on to national competition.

Threshold Evaluation Criteria:

- \$150,000 max federal dollars
- Fund activities related to waters within a reservation
- Implements and/or develops a watershed-based plan OR implements a watershed project that is a significant step towards solving NPS impairments on a watershed-wide basis
- Work plans must be consistent w/ Tribe's NPS management program and include required elements

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Competitive Proposal Review Process

- Once the Region determines Tribe's grant proposal passes the Regional threshold evaluation criteria review, the proposal is forwarded to EPA HQ for the national competition
- National review committee reviews the proposals and evaluates them.

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Addressing The Evaluation Factors

- The Project Review Committee evaluates and scores proposals according to how well the evaluation factors in the RFP are addressed
- Some evaluation factors are weighed more than other evaluation factors
- No page limit on proposal
- Reviewers will most likely have no knowledge of your program

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Competitive Proposal Selection

Evaluation Factors

- Members individually determine scores on 9 factors for all proposals
- All member scores are averaged and ranked
- Proposals are awarded in rank order until funding is gone

NOTE: In addition to rankings, the Committee may give priority consideration to high quality work plans designed to develop or implement a watershed-based plan

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Competitive Proposal Process

- EPA Headquarters notifies Regions/Tribes of proposals selected for formal grant application
- Tribes submit final grant applications to Region to Regional Office
 - Cover letter
 - Application for Federal Assistance (Standard Form 424)
 - Full work plan (include work plan chart and budget)

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Tentative 319 Competitive Grant FY09 Schedule

Date for Tribes to be eligible for 319 grants	October 10, 2008
Deadline for proposals (hard copy to Region OR electronically through Grants.gov)	December 5, 2008 (anticipated)
Headquarters notifies Regions/Tribes of selections for grants	March 2, 2009 (anticipated)
Tribes submit final grant application to Region.	April 1, 2009 (anticipated)

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CWA Section 319 Funding Award Process

- Base funds and competitive funds can be kept separately as two grants or combined together into one grant
- Region reviews final grant application(s) for
 - Content
 - Completeness
 - Compliance with applicable guidelines and regulations